# Characterization of 5-Fluorouracil Microspheres for Colonic Delivery

Submitted: May 31, 2005; Accepted: February 5, 2006; Published: May 26, 2006

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# **ABSTRACT**

The purpose of this investigation was to prepare and evaluate the colon-specific microspheres of 5-fluorouracil for the treatment of colon cancer. Core microspheres of alginate were prepared by the modified emulsification method in liquid paraffin and by cross-linking with calcium chloride. The core microspheres were coated with Eudragit S-100 by the solvent evaporation technique to prevent drug release in the stomach and small intestine. The microspheres were characterized by shape, size, surface morphology, size distribution, incorporation efficiency, and in vitro drug release studies. The outer surfaces of the core and coated microspheres, which were spherical in shape, were rough and smooth, respectively. The size of the core microspheres ranged from 22 to 55 µm, and the size of the coated microspheres ranged from 103 to 185 µm. The core microspheres sustained the drug release for 10 hours. The release studies of coated microspheres were performed in a pH progression medium mimicking the conditions of the gastrointestinal tract. Release was sustained for up to 20 hours in formulations with core microspheres to a Eudragit S-100 coat ratio of 1:7, and there were no changes in the size, shape, drug content, differential scanning calorimetry thermogram, and in vitro drug release after storage at 40°C/75% relative humidity for 6 months.

KEYWORDS: 5-FU, colon-specific, microspheres, alginate, Eudragit S-100, DSC, HPLC.

# **INTRODUCTION**

Colorectal cancer is a very common malignancy in industrialized nations and a major cause of mortality and morbidity. Surgery, radiation therapy, and chemotherapy are the 3 modalities commonly employed in an attempt to cure colorectal malignancy. Since its introduction by Heidelberger et al in  $1957$ , 5-fluorouracil (5-FU) has been the

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only agent with clinical activity against colorectal cancer. It is also used for other types of malignancies, such as those of the breast, head, and neck. Given its structural resemblance to natural pyrimidines, 5-FU interferes with nucleic acid synthesis, inhibits DNA synthesis, and eventually halts cell growth.2,3 Because of its incomplete and erratic oral bioavailability, 5-FU is commonly administered intravenously.4 However, patients prefer oral rather than intravenous therapy, $5$  with oral treatment potentially more convenient and less costly. The present regimens include an intravenous bolus or continuous infusion of 5-FU modulated with folinic acid (leucovorin). $6,7$  On intravenous administration, 5-FU produces severe toxic effects of gastrointestinal, hematological, neural, cardiac, and dermatological origin.<sup>8</sup> Site-specific delivery of 5-FU may reduce the systemic side effects and provide effective and safe therapy of colorectal cancer that may reduce the dose and duration of therapy when compared with the conventional treatment.

The approaches to achieving colonic delivery of drugs include use of prodrugs, pH-sensitive polymer coatings, timedependent formulations, bacterial degradable coatings, time/ pH-controlled deliveries, and intestinal luminal pressurecontrolled colon delivery capsules. In addition, the use of biodegradable polymers such as azopolymers and polysaccharides for colon targeting has been described in the literature.<sup>9</sup> Alginates are linear polymers that have 1-4' linked β-D-mannuronic acid and α-L-guluronic acid residue arranged as blocks of either type of unit or as a random distribution of each type. (Alginate building block units are β-D-mannuronic acid and α-L-guluronic acid.) Alginates have many advantages as colonic drug carriers, including nontoxicity, biocompatibility, biodegradability by colonic flora, availability, and cheapness. A Eudragit L-30D–coated calcium alginates bead for colonic delivery of 5-aminosalicylic acid has been reported.<sup>10</sup>

A colon-specific guar gum–based tablet of 5-FU has also been reported.<sup>11</sup> However, because of variations in transit throughout the colon, the drug release can be impaired when the colon-specific tablet matrix is not readily disintegrated, and treatment will remain ineffective.<sup>12-14</sup> This problem could be circumvented by reducing the size of the delivery carrier, since it has been reported that gastrointestinal retention depends upon the size of the carrier,  $15$  meaning that smaller carriers will lead to longer residence in the

colon. The present investigation involves developing and characterizing a colon-specific microsphere delivery system of 5-FU using alginate and Eudragit S-100 as a carrier.

# MATERIALS AND METHODS

# Materials

The 5-FU was a gift from Dabur Research Foundation (Ghaziabad, India). Sodium alginate (viscosity of 1% solution is 65 mPa at  $25^{\circ}$ C) was purchased from SD Fine Chemicals (Mumbai, India). Eudragit S-100 was obtained from Ranbaxy Laboratory Ltd (Haryana, India). Liquid paraffin was from SD Fine Chemicals; antifoam A was from Sigma-Aldrich Chemie (Deisenhofen, Germany); and calcium chlorides, potassium dihydrogen phosphate, cyclohexane, Span 80, Span 85, Tween 80, methanol, and dichloromethane were purchased from E Merck (Darmstadt, Germany). All other reagents were of analytical grade or better.

# **Methods**

## Preparation of Core Alginate Microspheres

Different formulations of 5-FU microspheres were prepared as shown in Table 1, using the method of Calis et  $al<sup>16</sup>$  with some modifications. The 5-FU was dispersed in an aqueous solution of 5% wt/vol sodium alginate. The solution was emulsified in liquid paraffin containing 2% vol/vol Span 80 using a mechanical stirrer (Remi Instruments Ltd, Mumbai, India) at 1500 to 2000 rpm for 1 hour. A calcium chloride solution (5% wt/vol in isopropanol) was added to the emulsion at the rate of 2 mL/min. The emulsion was stirred for 10 more minutes. Microspheres were collected by filtration





\*Core microspheres to coating polymer ratio.

Dispersed 5-fluorouracil in 5% wt/vol alginate aqueous solution



Encapsulated microspheres filtered, washed with cyclohexane, and vacuum-dried

Figure 1. Schematic illustration of microsphere preparation.

and washed 3 times with cyclohexane to remove liquid paraffin (Figure 1). Microspheres were deep-frozen at  $-70^{\circ}$ C for 12 hours (Premium U410, New Brunswick Scientific Co Inc, Edison, NJ) and freeze-dried at  $-110\degree$ C (Heto Dry Winner 10–110, Jouan Nordic A/S, Allerod, Denmark) for 10 hours.

# Encapsulation of Core Microspheres

Various formulations of coated microspheres were prepared by varying the core-to-coat ratio (Table 1) by the solvent evaporation technique. Core microspheres (A1) were

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dispersed in the Eudragit S-100 solution (10% wt/vol) in the methanol and dichloromethane mixture (1:4). The Eudragit S-100 and core microspheres dispersions were emulsified in liquid paraffin containing 1% vol/vol Span 85 and 0.1% vol/vol antifoam A, respectively, by a mechanical stirrer at 1500 to 2000 rpm. Stirring was continued for 3 to 4 hours to ensure that all the solvent was evaporated (Figure 1). Encapsulated microspheres were obtained by filtration and washed 3 to 4 times with cyclohexane to remove liquid paraffin, then vacuum-dried in desiccators for 48 hours.

# Incorporation Efficiency

Drug-loaded core microspheres (25 mg) were washed with 10 mL of 0.2M monobasic potassium phosphate buffer of pH 6.8 to remove the surface-associated drug. Then microspheres were kept in phosphate buffer for digestion for 24 hours and sonicated for 1 hour at room temperature. The samples were centrifuged at  $1000g$  for 10 minutes to remove any insoluble solids, the supernatant layer was removed, the membrane was filtered, and the drug content was determined using the reverse phase high-performance liquid chromatography (RP-HPLC) method. Incorporation efficiency was calculated using the following formula:

*Incorporation efficiency* = 
$$
\frac{b}{a} \times 100
$$
 (1)

where a is the theoretical drug content and b is the drug entrapped. The incorporation efficiency of coated microspheres was determined as described above after removing the Eudragit S-100 coating by washing with methanol.

#### Surface Morphology

The shape and surface characteristics of the microspheres were observed by scanning electron microscopy (Leo 435 VP, Carl Zeiss NTS GmbH, Oberkochen, Germany). The freeze-dried microspheres were coated with gold using a sputter coater (Agar sputter coater, Agar Scientific, Stansted, UK) under high vacuum (100 mTorr) and high voltage (1.2 kV and 50 mA) to achieve a film thickness of 30 nm. The samples were imaged using a 15-kV electron beam.

# Determination of Particle Size and Particle Size Distribution

The particle size distribution of core and coated microspheres was measured by the Quasi Elastic Light Scattering Technique (Photocor FC with manual goniometer, software 288 channel, Photocor Instruments Inc, College Park, MD). Weighed microspheres (50 mg) were suspended in tripledistilled water (2 mL) and vortexed before measurement. The obtained homogenous dispersion was examined to determine particle size distribution.

## Micromeritic Properties of Coated Microspheres

The flow properties of coated microspheres were investigated by determining the angle of repose, bulk density, and tapped density. The angle of repose was determined by the fixed-base cone method. Bulk and tapped densities were measured in 10 mL of a graduated cylinder. The sample contained in the cylinder was tapped mechanically by means of a constant-velocity rotating cam. The tapped volume was noted down when it showed no change in its value and bulk density and tapped density was calculated. Each experiment was performed 3 times.

#### In Vitro Release Studies From Alginate 5-FU Microspheres

The horizontal shaker method was used to study in vitro release profile of core alginate microspheres.<sup>17</sup> Core microspheres equivalent to 2 mg of 5-FU were suspended in 10 mL of phosphate buffer of pH 7.4 containing 0.02% wt/vol Tween 80 at  $37 \pm 0.2$ °C and 60 rpm. Various replicates were placed in a biological shaker. Samples were withdrawn at specified time intervals (1, 2, 3, 4, 6, 8, and 10 hours) and centrifuged at 1000g for 10 minutes; then supernatant was membrane filtered and assayed for drug release by the RP-HPLC method. For each formulation, determination was performed 3 times.

# In Vitro Release Studies From Coated Microspheres

Coated microspheres equivalent to 2 mg of 5-FU were placed in 10 mL of pH progression medium at  $37 \pm 0.2$ <sup>o</sup>C and 60 rpm in a biological shaker (to simulate gastrointestinal tract conditions) containing 0.02% wt/vol Tween 80 to improve the wettability of microspheres. The pH of the medium was gradually increased: 5.8 during the first 2 hours, 6.8 during the next 2 hours, and 7.4 until the end of the experiment. At specific time intervals (2, 4, 6, 8, 10, 12, 14, 16, 20, and 24 hours), samples were withdrawn and centrifuged at 1000g for 10 minutes; then supernatant was membrane filtered and assayed for drug release by the RP-HPLC method. Drug release studies were also performed in 0.01N HCl (pH 2.0) for 2 hours. For each formulation, determination was performed 3 times.

#### HPLC Analysis

The HPLC system (Class VP, Shimadzu, Kyoto, Japan) consisted of 2 LC 10AT VP pumps, a variable wavelength programmable UV-Vis detector SPD-10AVP, a system controller SCL-10AVP, and an RPC-18 column (150  $\times$  4.6 mm ID, particle size 5 μm, E Merck, Darmstadt, Germany). It was equipped with the software Class VP series version 5.0. A manual injection valve was equipped with a 20-µL sample loop injector. Quantitation was performed according to an earlier reported method, with slight modification.<sup>18</sup> All HPLC assays were performed isocratically at ambient temperature. The mobile phase was 0.05M phosphate buffer (monobasic potassium phosphate) at pH 3.0, filtered through a 0.45-µm membrane filter and degassed prior to use. The flow rate was 1.3 mL/min. The eluent was detected by UV detector at 254 nm. The standard curve was constructed for 5-FU in the concentration range of 1 to 40 μg/mL. A good linear relationship was observed between the concentration of 5-FU and the peak area ( $R^2 = 0.9999$ ). The detection limit was found to be 0.5 μg/mL. The retention time was found to be 4.12 minutes. The required studies were performed to estimate the precision and accuracy of this HPLC method for analysis of 5-FU. The HPLC method used in the study was found to be precise and accurate, as indicated by less than 1.3% coefficient of variation (CV) (intra- and interday) and high recovery of 99.6% to 100.2% of 5-FU. The standard curve constructed as described above was used for estimating 5-FU in entrapment efficiency studies, in vitro studies, and stability studies.

# Thermal Studies

Thermograms of the samples were obtained by a Perkin-Elmer differential scanning calorimeter (Pyris 6 DSC, software Pyris manager, Perkin-Elmer Schweiz AG, Hunenberg, Switzerland). Samples of 3 mg were accurately weighed into aluminum pans and then hermetically sealed with aluminum lids. The thermograms of samples were obtained at a

Table 2. Physical Characteristics of Core and Coated Microspheres\*

scanning rate of  $10^{\circ}$ C/min over a temperature range of 50 to 350°C. All tests were performed twice.

#### Stability Studies

To assess long-term stability, $19$  the core-coated microsphere formulations (E3) was put in hard gelatin capsules and sealed in aluminum packaging coated inside with polyethylene. The studies were performed at  $40^{\circ}$ C/75% relative humidity (RH) in the stability chamber (Stability Oven, Nirmal Instruments, Delhi, India) for 6 months. At the end of the storage period, the formulation was observed for physical appearance, size, shape, surface morphology, drug content, in vitro drug release, and differential scanning calorimetry (DSC) studies.

# Data Analysis

Statistical evaluation of data was performed using an analysis of variance (ANOVA) and, depending on the outcome of the ANOVA Student-Newman-Keuls multiple comparison test, the evaluation data was used to assess the significance of differences. To compare the significance of the difference between the means of 2 groups, the Student  $t$  test was performed; in all cases, a value of  $P < 0.05$  was accepted as significant.

#### RESULTS AND DISCUSSION

#### Incorporation Efficiency

The incorporation efficiency of various formulations is given in Table 2. The incorporation efficiency was higher for the formulations with a polymer to cross-linking agent ratio



\*Results shown are the mean  $\pm$  SD. n = 6 for particle size and n = 3 for yield, incorporation efficiency, angle of repose, bulk density, and tapped density.

of 1:1.5 ( $\%$  wt/wt) and a cross-linking time of 10 minutes (formulations A1, A2, A3, B1, B2, B3, and B4). The incorporation efficiency decreased progressively with increases in drug concentration (formulations A1, A2, and A3), suggesting that an insufficient amount of alginate was available to entrap the drug. The incorporation efficiency was also found to be proportional to the sodium alginate concentration (formulations B1, B2, B3, and B4). An inverse relationship was found between the incorporation efficiency and the time of cross-linking and concentration (formulations C1, C2, C3, D1, and D2). The decrease in the incorporation efficiency with an increase in the crosslinking time and concentration could be attributed to incomplete emulsification as a result of higher viscosity of the external oil phase, as the cross-linking agent was present in the external phase. In the present study, the low concentration of calcium used was found sufficient to decrease the porosity of alginate matrixes, as shown by the higher incorporation efficiency of 5-FU. The higher incorporation efficiency of coated microspheres (formulations E1, E2, and E3) was due to the fact that the drug was inside the core microspheres and that the solvents methanol and dichloromethane dissolved the Eudragit S-100 while maintaining the integrity of the core microspheres.

# Morphology, Size of Microspheres, and Micromeritic Properties of Microspheres

Scanning electron microscopy revealed that alginate microspheres were discrete and spherical in shape with a rough outer surface because of the surface-associated crystals of the drug (Figure 2). Table 2 indicates that a higher ratio of drug and polymer is associated with increase microsphere size. A decrease in the alginate concentration (2% wt/vol) resulted in the clumping of microspheres, whereas a higher



Figure 2. Scanning electron microscopy photograph of core alginate microspheres, formulation A1.



Figure 3. Scanning electron microscopy photograph of Eudragit S-100–coated core microspheres, formulation E3.

sodium alginate concentration (6% wt/vol) resulted in the formation of discrete microspheres with an average diameter of 78 µm. This could be due to higher viscosity at a higher concentration and formation of larger microspheres. Interestingly, an increase in the mean diameter of microspheres was observed with an increase in calcium chloride concentration and time of cross-linking (formulation C1, C2, C3, D1, and D2). This could be explained by the fact that more of the calcium ions became available for cross-linking guluronic acid units of sodium alginate, resulting in the formation of more cross-linked alginate, which in turn could increase the viscosity of the formulation, leading to the formation of larger microspheres. The diameter of the core microspheres was in the range of 22 to 55 µm. A scanning electron microscopy photograph of coated alginate microspheres showed that the microspheres were discrete and spherical in shape, with a smooth outer surface (Figure 3). The size of coated microspheres ranged from 103 to 185 µm. All formulations showed excellent flowability, as represented in terms of angle of repose  $( $40^{\circ}$ )<sup>20</sup>$  (Table 2). The angle of repose of coated microspheres (formulations E1, E2, and E3) was smaller than that of core microspheres (formulations A1, A2, A3, B1, B2, B3, B4, C1, C2, C3, D1, and D2), possibly because the core microspheres had a rough surface. The bulk and tapped densities indicate that microspheres have good packability. The improvements of micromeritic properties suggest that microspheres can be easily handled.

# In Vitro Release Studies

The in vitro release profile of different core alginate microsphere formulations is shown in Figure 4 and Figure 5. There was no significant difference in rate and extent of



Figure 4. In vitro release profile showing the effect of drug and polymer on drug release from core alginate microspheres. Results indicate mean  $\pm$  SD (n = 3).

drug release from formulations A1, A2, and A3 ( $P > .05$ ). The effect of polymer on the drug release is shown in Figure 4. A significant ( $P < .05$ ) difference in the rate and extent of drug release was observed in formulation A1 compared to formulations B1, B2, B3, and B4. This could be attributed to an increase in the density of the polymer matrix and the diffusional path length that the drug has to traverse. The release of 5-FU was characterized by a burst release followed by a moderate, slow release. The biphasic pattern of drug release is characteristic of matrix diffusion kinetics.<sup>21</sup> The burst release can be reduced by increasing the polymer concentration, resulting in better incorporation efficiency, as discussed earlier, and a decrease in surfaceassociated drug. The effect of cross-linking agent concentration and cross-linking time is shown in Figure 5. The results indicate that rate and extent of drug release decreased significantly ( $P < .05$ ). Sodium alginate is a linear copolymer consisting of  $\beta$  (1→4) mannuronic acid and  $\alpha$  (1→4) L guluronic acid residues; a tight junction is formed between the residues of alginate with calcium ions. An increase in cross-linking time from 10 to 30 minutes ( $P < .05$ ) significantly decreased the drug release. For an optimized formulation, the cross-linking time and the polymer to cross-linking agent ratio chosen were 10 minutes and 1:1.5 (wt/wt).

The second part of the formulation focused on the microencapsulation of the alginate core microspheres. The cores were microencapsulated by the solvent evaporation technique. The coating polymer, Eudragit S-100, dissolves above pH 7.0, thereby protecting the drug from releasing from the alginate core before reaching the colonic region. Once the enteric coating dissolves, it is expected that drug release would then be controlled by alginate in the target area. The in vitro release behavior of encapsulated microspheres was very dramatic (formulations E1, E2, and E3). As expected, no drug release occurred at gastric pH 2.0 for 2 hours. As shown in Figure 6, no drug release occurred below the pH of polymer solubility. After this lag time, drug release and the time for the total drug varied depending on the core-tocoat ratio. The release of 5-FU slowed down as the concentration of coating polymer increased ( $P < .05$ ).

The in vitro release studies data were fitted into various release equations to explain the kinetics of drug release from these microspheres. The kinetic models used were firstorder,<sup>22</sup> zero-order, and Higuchi release<sup>23</sup> models. Linear regressions are summarized in Table 3. The examination of the determination  $R^2$  coefficient indicated that drug release followed the diffusion control mechanism from the core and coated microspheres. To explore the kinetic behavior, in vitro release results were further fitted into the following Korsmeyer and Peppas equation<sup>24</sup>:

$$
\frac{M_t}{M_\infty} = Kt^n \tag{2}
$$

where  $M_t/M_\infty$  is the fraction of drug released after time t, K is a kinetic constant, and n is a release exponent that



Figure 5. In vitro release profile showing the effect of crosslinking time and cross-linking agent concentration. Results indicate mean  $\pm$  SD (n = 3).



Figure 6. In vitro release profile of coated microspheres. Results indicate mean  $\pm$  SD (n = 3).

characterizes the drug transport and was in the range of 0.3923 to 0.5028, indicating the Fickian drug diffusion (Table 4).

Table 3. In Vitro Release Kinetic Parameters of Microspheres





#### Thermal Characterization of Microspheres

DSC is very useful in the investigation of the thermal properties of microspheres, providing both qualitative and quantitative information about the physicochemical state of drug inside the microspheres. $25$  There is no detectable endotherm if the drug is present in a molecular dispersion or solid solution state in the polymeric microspheres loaded with drug.<sup>26</sup> In the present investigation, DSC thermograms of pure drug, blank Eudragit S-100–coated core microspheres (formulation E3), drug-loaded Eudragit S-100– coated core microspheres (formulation E3), and drug and polymer physical mixtures in the same ratio as in formulation E3 were taken. As shown in Figure 7, prominent





Figure 7. Differential scanning calorimetry thermogram of (A) 5-fluorouracil, (B) physical mixture of drug and polymer, (C) formulation E3, (D) formulation E3 after storage at  $40^{\circ}$ C/75% relative humidity for 6 months, and (E) blank microspheres, formulation E3.

melting endotherms of pure 5-FU and a physical mixture of drug and polymer were found at  $280.1^{\circ}$ C and  $279.8^{\circ}$ C. Drug-loaded Eudragit S-100–coated core microspheres showed a broad small peak at  $279.5^{\circ}$ C, indicating the presence of drug in crystalline form. The reduction of height and sharpness of the endotherm peak is due to the presence of polymers in the microspheres.



Figure 8. Scanning electron microscopy photograph of formulation E3 after storage at  $40^{\circ}$ C/75% relative humidity for 6 months.

Table 5. Percentage of 5-FU Released From the E3 Microsphere Formulation Before and After Storage at 40°C/75% Relative Humidity for 6 Months\*

			Percentage Released	
pH	Time (h)	Before Storage	After Storage	
5.8	2		$\theta$	
6.8	4	$\Omega$	$\theta$	
7.4	6	$4.2675 \pm 0.4224$	$4.1567 \pm 0.4563$	
	10	$23.3474 \pm 0.6384$	$23.1198 \pm 1.234$	
	16	$64.2717 \pm 0.4855$	$62.8762 \pm 2.098$	
	24	$98.2834 \pm 0.4855$	$99.0145 \pm 1.7652$	

\*Values indicate mean  $\pm$  SD (n = 3).

# Stability Studies

In view of the potential utility of formulation E3 for targeting 5-FU to the colon, the stability studies were performed at  $40^{\circ}$ C/75% RH for 6 months (climatic zone IV conditions for accelerating testing) to assess their long-term stability (2 years). The protocol conformed to the recommended World Health Organization document for stability testing of products intended for the global market.<sup>17</sup> After storage, formulation E3 was observed for physical appearance, particle size, particle shape (Figure 8), drug content, in vitro drug release, and DSC studies. Before and after storage at 40°C/75% RH for 6 months, in vitro release data (Table 5) were analyzed for dissolution efficiency.<sup>27</sup> No significant difference ( $P > .05$ ) was found, and similarity factor f2 and dissimilarity factor  $f1^{28}$  were found to be 97.5 and 0.9, respectively. There was an insignificant change in the particle size distribution and shape (Figure 8), indicating that formulation E3 could provide a minimum shelf life of 2 years. Similarly, there was no change in the DSC thermograms before and after storage of the formulation (Figure 7).

#### **CONCLUSION**

The results of our study clearly indicate that there is great potential in delivery of 5-FU to the colonic region as an alternative to the conventional dosage form. However, more extensive pharmacokinetic and pharmacodynamic studies are needed before establishing colonic delivery of 5-FU as an alternative. Biocompatibility studies of the formulation additives must also be done. Sodium alginate is a biocompatible polymer; we expect it to cause no harmful effects if used for prolonged periods.

#### ACKNOWLEDGMENTS

The authors thank the Chemistry Department, Delhi University, Delhi, India, for carrying out the particle size distribution studies and the Electron Microscope Facility, All India Institute of Medical Sciences, Delhi, India, for the scanning electron microscopy studies. Z.R. thanks the Hamdard National Foundation for a fellowship.

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